

## **Notes for Completion of Account Application Form**

### **1 Language**

Name and address must be written in English or Chinese.

### **2 Submission**

Application Forms can be submitted in person together with their original copy of identity card or passport and proof of address.

Application Forms can also be submitted by post together with a certified photocopy of proof of identity and proof of address

Application Forms can also be submitted by FAX or EMAIL the Scan Copy to the appointed NO. or Email.

### **3 Proof of Identity and Address**

All applications must be accompanied by (1) A photocopy of proof of identity, and (2) Proof of address.

Proof of identity includes passport, identity card, driving licence and any other documents with a similar nature.

Proof of address includes bank statements, utility bill and telephone bills etc. The address appearing on the address proof should be same as the address provided in this Form.

Certification means a document certified by a lawyer, accountant, bank manager, persons or corporations licensed by or registered with a regulatory body.

### **4 Password**

Applicants must provide a password to be used to log into the trading platform. The password can be a combination of numerical number or/and alphabetic characters. Password must be kept in a safe place.

### **5 Joint Account Holder Name**

If the account applied is a joint account, the personal details of second applicant should be provided here.

In case there are more than two applicants in the joint account, please print and complete additional copy(ies) of this page.

### **6 Employment Details**

If applicants select RETIRED OR UNEMPLOYED, then they need to provide the information on their last employment.

### **7 Company Account**

Corporate applicants must provide, in addition to those listed above:

A photocopy of Certification of Incorporation (if applicable), Business registration Certificate and a set of corporate documents which shows the particulars of current members and directors (applicable to limited company) or partners (applicable to partnership).

## CLIENT INFORMATION REGISTRATION FORM

Open A/C Type Please Check One Only)	<input type="checkbox"/> Individual A/C	<input type="checkbox"/> Joint A/C	<input type="checkbox"/> Corporate A/C
A/C Type Please Check One Only)	<input type="checkbox"/> Trad A/C	<input type="checkbox"/> Trad A/C	<input type="checkbox"/> Mini A/C
	<input type="checkbox"/> Model A/C	<input type="checkbox"/> Standard A/C(B)	

### BASIC INFORMATION-FOR INDIVIDUAL A/C

**Please type or use BLOCK LETTERS**

Surname	Given Name 名字	Sex	Date of Boirth
Passport or ID Card No.		Issuing Country	
Home Address			
Home Telephone No.	Mobile Phone No.	Home Fax No.	Email Address (Required)

### Employment Details

<input type="checkbox"/> Employed	<input type="checkbox"/> Unemployed	<input type="checkbox"/> Self-Employed	<input type="checkbox"/> Retired
If Unemployed, please review "High Risk Investment Notice".			
If Unemployed or Retired, please indicate previous Nature of business and Occupation.			
Name of current employer		Nature of business	
Position		Years with current employer	
Business Telephone No.		Business Fax No.	

Business address
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### BASIC INFORMATION-FOR CORPORATE A/C

Corporate Name		
Type of Organization	<input type="checkbox"/> Limited company	<input type="checkbox"/> Partnership
Type of Identity		



If yes, please complete Limited Power of Attorney Form.

**The undersigned hereby attest(s) and certifies to be a sophisticated financial institution and/or sophisticated participant and attest(s) the above information is complete and accurate. The undersigned hereby authorize(s) FLE to verify any or all of the foregoing information.**

**Primary Account Signature:**

**Joint Account Signature:**

**Trading Agent Signature:**

Client Name (English and Chinese):

Client Name (English and Chinese):

Agent Name (English and Chinese):

Date:

Date:

Date:

**※For Official Use Only**

**APPROVED AND AND ACCEPTED BY**

\_\_\_\_\_  
Name of Approving Officer

\_\_\_\_\_  
Date: